Measurably evolving pathogens in the genomic era

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Current sequencing technologies have created unprecedented opportunities for studying microbial populations. For pathogens with comparatively low per-site mutation rates, such as DNA viruses and bacteria, whole-genome sequencing can reveal the accumulation of novel genetic variation between population samples taken at different times. The concept of ‘measurably evolving populations’ and related analytical approaches have provided powerful insights for fast-evolving RNA viruses, but their application to other pathogens is still in its infancy. We argue that previous distinctions between slow- and fast-evolving pathogens become blurred once evolution is assessed at a genome-wide scale, and we highlight important analytical challenges to be overcome to infer pathogen population dynamics from genomic data.

A changing landscape for studying pathogen evolution
Over a decade ago Drummond et al. [1] introduced the idea of ‘measurably evolving populations’. These populations exhibit detectable amounts of de novo evolutionary change among genetic sequences sampled at different timepoints. This concept, and the analytical methodology it has spawned, has revolutionized our ability to study population dynamic processes using genetic sequence data (Box 1). Until recently, RNA viruses were the primary target of such approaches owing to their high per-site evolutionary rates (see Glossary), one consequence of which being that partial genome sequences accumulate observable genetic change on the same timescales at which epidemiological processes occur. Using analytical techniques derived from population genetic theory, aspects of these processes can be inferred from sequence data. The application of such techniques to RNA viruses has been a highly successful scientific endeavor, giving rise to the field of phylodynamics [2–4] and yielding many fundamental insights about infectious disease epidemiology [5].

In contrast to RNA viruses, pathogens that evolve more slowly per nucleotide site, such as bacteria and double-stranded (ds) DNA viruses, have not been considered amenable to these approaches – until recently. Because mutation rates and genome sizes tend to be inversely related (Figure 1), slow-evolving pathogens can accumulate novel variation throughout their generally larger genomes on a timescale similar to that seen in RNA viruses, and sometimes on timescales similar to relevant epidemiological processes. With the rise of novel sequencing technologies it has become increasingly feasible to routinely sequence whole genomes for a diverse range of microbes. This has pushed many new pathogen systems into the realm of measurably evolving populations, offering opportunities to gain insights into their epidemiology and population dynamics [6–8].

**Glossary**

**DNA viruses:** viruses that encode their genetic material as DNA. Double-stranded (ds)DNA viruses use host enzymes to replicate their genomes. Owing to the proof-reading activity in these replicates, mutational changes per replication event tend to be rare. By contrast, single-stranded (ss)DNA viruses can evolve at higher rates similar to those seen for RNA viruses.

**Evolutionary rate:** the estimated rate at which nucleotide changes (per site or per genome) are observed within a population sampled over time. Sometimes used synonymously with substitution rate, which technically refers to the rate at which these nucleotide changes become fixed at the population level (also see Mutation rate). Unless indicated otherwise we generally refer in this article to rates per unit time rather than per generation because information on generation time for natural transmission is often unavailable.

**Heterochronous:** refers to sequence data sampled over sufficiently long time-periods to allow measurable evolution between sampling times. By contrast, sequences for which no such effect is detectable and which can be considered to have been sampled at effectively the same timepoint, are termed isochronous (Box 1).

**Mutation rate:** the rate at which novel mutations arise (per site or per genome), most of which are subsequently removed by purifying selection. This rate therefore represents the upper biological limit for the amount of genetic change per unit of time (see Evolutionary rate).

**Phylodynamics:** scientific discipline that aims to infer the population processes that gave rise to particular phylogenetic patterns, as identified from genetic sequence data. Often, but not exclusively, applied in the context of infectious disease transmission, phylodynamic approaches have been used to study processes including immune selection, population expansion, spatial movement, and transmission or recovery rates.

**RNA viruses:** a group of viruses that use RNA as their genetic material and produce their own enzyme (an RNA polymerase) for genome replication. Owing to short generation times (often days) and a lack of proof-reading capability in the polymerase, many RNA viruses quickly accumulate genetic changes at the genome level.

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Box 1. A primer on measurably evolving populations

When a population is genetically sampled, researchers commonly assume that the accumulation of evolutionary events over the timescale of sampling is negligible. As Drummond et al. pointed out [1], this assumption might not always hold. Consider two lineages that share a recent common ancestor and that are sampled at different points in time (such data are termed ‘serially-sampled’ or ‘time-stamped’). When comparing the respective genetic divergence of each from their most recent common ancestor (MRCA), the lineage sampled earlier is expected to be less divergent because it had less time to accumulate substitutions compared to the lineage sampled later (Figure 1A). This additional divergence (δ) is a product of the evolutionary rate per site per unit time (μ), the duration of the sampling interval (t) and the number of sites in the sequence considered (l); thus δ = μtl. When δ is not distinguishable from zero, sampled sequences can be considered to be isochronous (i.e., evolutionarily equivalent to sequences that were sampled at precisely the same time). By contrast, δ > 0 implies a measurably evolving population and samples described as heterochronous, indicating that the differences in their sampling date must be taken into account during evolutionary analysis. This is often accomplished by using phylogenetic molecular clock models that constrain the distance of each tip from the tree root to be proportional to its sampling date (Figure 1B).

By rescaling genealogies into natural units of time, the concept of measurably evolving populations is pertinent to a suite of research areas at the interface of population biology and molecular evolution, including phylogenetics, demographic modelling, paleobiology, epidemiology, and phylogeography. Combined with coalescent-based inference methods [68], it has contributed to our understanding of how historical populations changed through time [69]. It has been frequently applied to ancient DNA from eukaryotic species (for which the sampling interval t is large) [70], and to RNA viruses (for which the mutation rate μ is large) [69]. Specifically, the concept has provided insights into the emergence and transmission of major pathogens including HIV, influenza, and Ebola virus [3,5]. Similar advances might now be possible for a much wider range of organisms through the generation of whole-genome data, thus capitalizing on the third factor that can make populations measurably evolving, the number of sites in the sampled sequence (l).

While we share the excitement about these prospects we argue that substantial challenges must be overcome once the tools and concepts that have, until now, been tested on RNA viruses become applied to other pathogens. We provide an overview of issues and research problems that arise in this emerging field by focusing on three main areas: (i) the relative timescale of evolutionary and epidemiological processes, (ii) the effect of temporal sampling scale on evolutionary rate estimates, and (iii) the novel analytical challenges arising from the biological characteristics of bacterial and DNA viral pathogens. We focus on these two groups because they are an increasingly common target for whole-genome studies, but applications to other pathogens, such as fungi, are also starting to become available [8]. Throughout this article we discuss the need for novel approaches and suggest possible ways forward.

Measuring evolution on epidemiological time-scales

Genomic data from populations sampled through time are being generated for an increasing range of pathogens. As a consequence, estimates of evolutionary rates (nucleotide or amino acid substitutions per site or codon per year) are becoming available for many of these pathogens for the first time. A comparison of genome-wide evolutionary rates (as opposed to per-site rates), estimated from pathogens sampled over at least one decade, confirms that the dichotomy between ‘fast-evolving’ and ‘slow-evolving’ pathogens is more appropriately viewed as a continuum that does not conform to taxonomic boundaries: many whole bacterial genomes sequenced to date are accumulating novel mutations over time-frames of days to months, similar to those typically observed in RNA viruses (Figure 2). For dsDNA viruses, information is more limited but so far suggests that this time-frame might be more in the order of months to years [9–11], likely due to a combination of lower per site mutation rates and smaller genomes. Slow rates of evolution are also seen in particular bacteria such as Mycobacteria [12–14].

How quickly genetic variation is expected to arise within the pathogen genome has implications for the scale at
which epidemiological processes can be realistically resolved. For example, at the finest epidemiological resolution of direct transmission from host to host, even genome-wide variation might be insufficient to result in distinguishable consensus genomes between donor and recipient hosts, especially where the average generation interval between donors and recipients is short (Figure 2). In some cases within-host variation that is not represented in the consensus genome, in the form of rare and transient variants, can provide additional information for identifying transmission links [15]. However, the degree to which this applies to bacteria and dsDNA viruses is not clear, and few empirical studies so far have examined within-host levels of variation for these pathogen groups. A recent simulation study found that within-host variation was not sufficient to enable accurate mapping of transmission links for bacterial pathogens – and indeed showed that such variation can obscure transmission links if inference is based on single isolates from each host [16]. The reconstruction of transmission pathways from genetic data is a complex problem under the best of circumstances [5,6], hence researchers need to carefully consider for each pathogen whether the epidemiological process of interest occurs at a timescale at which sufficient genetic signal is detectable.

Several research avenues can be pursued to overcome the uncertainty resulting from limited genetic resolution. The simplest is to shift attention to higher hierarchical scales (e.g., towns instead of individuals) resulting in longer average waiting times between transmission events, thereby increasing the probability of successful genetic tracing. Alternatively, genomic variation other than SNPs could be used to infer transmission links, such as insertions and deletions (indels), or auxiliary parts of bacterial genomes (e.g., plasmids). For example, a recent outbreak of the dsDNA monkeypox virus exhibited variation in indels but not in nucleotide sequence [17], and indels have been used to aid the reconstruction of within-host HIV-1 evolution [18]. However, whether this strategy has practical use for revealing the phylogenetic structure of pathogens with limited nucleotide polymorphism remains to be tested. Available bacterial data (e.g., for Mycobacterium tuberculosis and Staphylococcus aureus) suggest that SNPs outnumber indels, and it is therefore unclear whether indel variation would be common enough to be useful. A third strategy is to integrate genetic data with other types of information such as host contact or incidence patterns, thus maximizing the total information available for analysis. This represents a vibrant and rapidly expanding area of research (Box 2) which might bring substantial future advances in epidemiological inference [15,19–21].

**Time-dependency of evolutionary rates and its consequences**

The power to detect measurable evolution grows as sequences are sampled further apart in time [1]. However, the length of the time-interval over which sequences are sampled can also affect the apparent rate of genetic change estimated from the data, with longer timescales yielding lower evolutionary rate estimates (Figure 3). The causes of
Box 2. Integrating genetic and epidemiological data

The minimum data required for studying measurably evolving pathogens consist of sampled gene sequences and their relevant sampling dates. For many DNA viral and bacterial pathogens, the timescale over which novel genomic variation is observed is of the same order or even slower than the timescale of transmission from host-to-host (see Figure 2 in main text). In this case, resolving ‘who infected whom’ cannot be achieved by solely using molecular information, and this has led to several recent modeling efforts to integrate genetic with epidemiological data (Figure 1). The additional epidemiological information can be geographical, for example, when considering infections that spread from one place to another – as in the case of foot-and-mouth disease virus spreading between farms. The greater propensity for transmission between farms in spatial proximity can be accounted for by an additional term in the likelihood function that penalizes distant transmission events [20,71]. Analyzing such space–time–genetic data is also possible in endemic regions where only a fraction of incident cases are observed and multiple introductions of the pathogen might have occurred [72]. Additional epidemiological information is sometimes available at the individual host level, further constraining the set of transmission trees that are consistent with the data. For example, an estimate of when a host became infectious implies that transmission from that host could not have happened beforehand [19,20]. In the study of nosocomial infections, detailed information is typically available about patient admission and release dates, whereabouts in hospitals, and symptoms and treatments. Current research aims to analyze this information jointly with pathogen genetic data [44,73].

Figure 1. Example of inferring transmission links using a Bayesian approach that integrates both genetic and epidemiological data. The data are taken from a recent study of a tuberculosis outbreak in Canada [74]. The two matrices show the posterior probability of transmission from one individual (row) to another (column), with warmer colors representing higher probabilities. The matrix on the left is based on genomic data only, whereas the matrix on the right is based on both genomic and epidemiological data, namely geographic data and indications of infectiousness provided by smear and skin tests. Accounting for these additional data significantly reduces the uncertainty in who infected whom.

this decline in the apparent rate of molecular evolution are not fully understood, but might include changes in selective constraint, nucleotide saturation at variable sites, and the effect of slightly deleterious mutations that are slower to be removed by purifying selection. This ‘time-dependency’ effect was first recognized in data arising from ancient DNA studies of animal species [22], and in recent years evidence has accrued that the same phenomenon also applies to bacteria and viruses [23–25].

Taxonomic information about the timing of host divergence events can be used to calibrate the age of ancestral nodes in pathogen phylogenies (often with considerable uncertainty) and thus to quantify the amount of genetic change over different time-frames. A recent analysis of sequence data for primate lentiviruses, representing timescales ranging from months to millennia, revealed that evolutionary rate estimates do indeed decline as longer time-intervals are considered [25]. Owing to the increasing availability of complete microbial genomes, similar comparisons are now also possible for bacterial pathogens, and confirm the same pattern of apparent rate decline (Figure 3). In general, bacteria tend to be more resistant than most viruses to degradation outside the host, and in some instances this has enabled bacterial genomes to be recovered from the bones of infected hosts who died more than 1000 years ago [26–28], providing novel opportunities for the estimation of long-term evolutionary rates without reliance on potentially erroneous divergence time calibrations.

The time-dependency of evolutionary rate estimates has important implications for genetic inference of pathogen population dynamics. On the one hand, estimates based on co-divergence dates will be misleadingly low when considering the amount of divergence expected over epidemiological timescales. Conversely, rates estimated from sequences sampled over short timescales should not be used to determine phylogenetic divergence times in the more distant past because they will systematically underestimate these ages [24]. For example, early studies of RNA viruses that extrapolated molecular clock results in this way commonly produced unrealistically young ages for their most recent common ancestors [29,30]. Recent
highly expressed genes, which suggests that bacteria have mechanisms to differentially control the frequency of mutations within their genomes [38]. Molecular evolution can also vary temporally, as was recently reported for *Yersinia pestis* and hypothesized to reflect strong demographic fluctuations [39]. On a shorter timescale, modulation of DNA repair pathways can increase the substitution rate dramatically in a process called hypermutation, which has been shown to be adaptively important, for example to gain antibiotic resistance [40,41]. The life cycles of many infectious bacterial pathogens introduce further possible causes of temporal variation in evolutionary rates. For example, *Mycobacterium tuberculosis* infections can be active or latent, and different rates of evolution have been reported in these two phases [42]. Similarly, a difference between evolutionary rates would be expected for the latent and active replication phases of some DNA viruses, such as herpesviruses [34]. Some bacterial pathogens from the firmicute phylum, such as *Bacillus* or *Clostridium*, produce endospores that can survive for years outside a host, and because these structures do not reproduce they are likely to accumulate fewer mutations on average compared to actively dividing cells [43,44]. Whereas heterogeneity across the genome can be accounted for by partitioning the data for estimating rates, temporal heterogeneity is more challenging to represent using current molecular clock models, and can even obscure any signal of measurable evolution (Box 3).

**Homologous recombination**

Homologous recombination is frequent in the evolution of most bacterial species, and this process is often found to play a greater part in genomic diversification than *de novo* mutation [45]. The recombination rate varies significantly among species, and can vary from one lineage to another within a species [46,47] and among genome regions [48]. Recombination is non-random in terms of the pairs of donors and recipients involved, with more frequent exchanges happening between close relatives [49] or occupants of the same ecological niche [50,51]. Recombination has also long been known to occur in some large DNA viruses, such as poxviruses, and whole-genome sequencing is providing new opportunities to shed light on its frequency and genomic consequences [52]. Ignoring recombination when analyzing measurably evolving pathogens can be misleading [53], but accounting for such a complex and variable process is difficult. If all the strains under study are closely related, for example, when studying a local outbreak, then most recombination among them will have little effect compared to imported sequences from other lineages, which would introduce a relatively high number of nucleotide changes. Such imports from other lineages can be inferred from the presence of multiple substitutions in a short genomic region and on a single phylogenetic branch [54,55]. However, recombination events for which both donor and recipient belong to the population under study can be sometimes detected if different genome regions support different phylogenetic topologies [49,56,57]. In addition to its potential to distort phylogenetic inference, recombination can also create a false signal of apparent mutational evolution by introducing additional divergence between

**Biological complexities**

Extending the concept of a measurably evolving population from RNA viruses to DNA viruses and bacteria requires taking into account several biological processes and patterns that are absent or rare in RNA viruses, and for which current analytical tools are insufficient. We highlight three key examples of such complexities that will require theoretical and methodological advances over the coming years.

**Intra-genomic and temporal variation in evolutionary rate**

When averaged across the genome, the per-site evolutionary rate among different RNA viruses, dsDNA viruses, or bacterial species can vary by several orders of magnitude [7,34] (Figure 1). More importantly from an analytical point of view, there is often considerable rate heterogeneity within species. The evolutionary rate of bacteria, for example, varies significantly along their genomes [35,36]. This variation is partly explained by the fact that mutation is highly regulated by DNA repair mechanisms in a manner that is still not fully understood [37]. There is evidence for a lower rate of mutation in...
Box 3. Methods for handling time-stamped genomic data

A common first step in the exploration of heterochronous sequence data is to construct a rooted phylogeny and then perform a linear regression between the sampling dates of each sequence and their corresponding root-to-tip genetic distances (Figure 1A). A strong positive linear relationship between time and genetic divergence indicates that the sequences contain ‘temporal signal’ and are suitable for analysis using molecular clock models. Further, it provides preliminary information about the rate of molecular evolution and the date of the most recent common ancestor of the sample (Figure 1A). This method has been used successfully for many bacterial pathogens, for example *Vibrio cholerae* [75], *Streptococcus pneumoniae* [55], and *Staphylococcus aureus* [76]. A related technique can be used when two pathogen sequences have been sampled longitudinally from the same host. When many such pairs are available, linear regression can be applied to the genetic distance between each sequence pair and the time between first and second sampling (Figure 1B). This reveals both the rate of molecular evolution and the level of intra-host genetic diversity. This method has been applied to *Clostridium difficile* [43], *Mycobacterium tuberculosis* [77], and *Klebsiella pneumoniae* [78]. While providing a useful starting point, these regression approaches are limited as estimation tools because the datapoints are non-independent (due the presence of shared common ancestry or multiple pairwise comparisons) and because they are based on a point estimate of phylogeny. These limitations can be overcome with Bayesian phylogenetic approaches (e.g., those implemented in BEAST – Bayesian Evolutionary Analysis Sampling Trees [79]) that can co-estimate evolutionary rates and serially sampled genealogies (Box 1). Such analysis requires that a molecular clock model is chosen, the simplest of which is the ‘strict clock’ that assumes that all phylogeny branches evolve at the same rate. However, there are many reasons to suspect that evolutionary rates within microbial species vary through time or among lineages (see main text). In such cases it might be more appropriate to use a ‘relaxed’ molecular clock model [80] that allows among-branch variation in evolutionary rates; for example, the uncorrelated relaxed clock model that has been applied successfully in several bacterial genomic studies [39,47,81,82]. For an overview of current molecular clock models see [83,84]. One limitation of phylogenetic methods such as BEAST is that they do not account for recombination, which can disrupt the temporal signal (see main text). A pragmatic solution is to use software that can detect recombination such as ClonalFrame [54] and apply BEAST only to the data that were not affected by recombination [58,85].

![Figure 1](https://example.com/figure1.png)

**Figure 1.** Two types of linear regression analysis based on heterochronous genetic sequences. (A) From a rooted phylogeny, root-to-tip distances are shown on the y axis and sampling dates on the x axis. The slope is an estimate of the rate of molecular evolution, and the x intercept corresponds to the estimated date of the root. (B) From pairs of genomes sampled sequentially from the same hosts, the distances between sequences are shown on the y axis and the sampling intervals on the x axis. The slope is an estimate of the rate of molecular evolution, and the y intercept corresponds to the average distance between pairs of sequences sampled at the same time.

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samples taken at different timepoints [58]. There are thus several important reasons to test and account for recombination in the analysis of measurably evolving pathogens, and improved methods are needed for handling it.

**Variation in genome content**

DNA virus and bacterial genomes can exhibit significant variation in gene content and order, even between close relatives. For a given population, the ‘core genome’ represents the regions found in all the genomes, whereas the regions found in at least one but not necessarily all genomes are termed the ‘pan-genome’ [59]. Because standard approaches to studying measurably evolving populations (Box 3) use alignments of homologous sequences, they can apply only to the core genome. The presence of paralogous genetic elements complicates the generation of such alignments. One approach is to find the genes homologous in all genomes, and align them separately [60]. Another approach is to align each genome against a reference, typically via reference-based assembly of short read sequence data [61]. Reference-free alignment of all genomes against each other is also possible [62,63], but only for relatively small numbers of taxa. Although the core genome provides a convenient starting point for the analysis of measurably evolving pathogens, it ignores gene content variation that can be of crucial importance [64]. For example, in an analysis of *Salmonella enterica* serovar Agona over several decades, most genetic diversity was due to the gain and loss of several bacteriophages, plasmids, and integrative conjugate elements [65]. Gain and loss of genomic regions occur concurrently with diversification of the core genome via mutation and homologous recombination, but the same elements can be gained or lost multiple times, hence trees based on gene content can look very different from those based on the core genome [57,66]. There is currently no well-established framework...
for studying gene content variation of measurably evolving populations, making this another important area in need of methodological development. Revisiting earlier approaches, such as mathematical models for phylogenetic inference based on gene order [67], might be fruitful in this context.

Concluding remarks

Whole-genome sequencing promises to identify most, or even all, microbial pathogens as measurably evolving. There is thus a crucial need for increased scientific dialogue among evolutionary biologists, epidemiological modelers, and microbiologists, with a shared aim of developing methods that can accommodate the specific biological complexities inherent in many bacterial and virus systems. Box 4 summarizes some of the outstanding research questions that, in our view, warrant particular attention. Only by overcoming these new and longstanding problems will the exciting advances currently being made in microbial evolution reach their full potential.

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Appendix A. Supplementary data

Supplementary data associated with this article can be found at http://dx.doi.org/10.1016/j.tree.2015.03.009.

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